



Substitute for form 1449A/PTO
(Revised 10/2001)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known

Application Number 09/679,848

Filing Date October 5, 2000

First Named Inventor

Group Art Unit 216

Environ Monit Assess (2008) 140:131–141

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GROUP 5600

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
CRP	10	EP 0 512 702 A2	11-11-1992	Reuters Limited		
CMH	11	EP 0 647 866	04-12-1995	Alcatel Cavi S.p.A		
CFK	12	CH 675 982	11-30-1990	Puchert, Gerhard, Yverdon		
JRK	13	WO 98 43121	10-01-1998	Zarian, James R.; Robbins, John A.		
Examiner		Date				

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

* Application Number as intended by Applicant. CRK 1/4/05



Substitute for form 1449B/PTO (Revised 10/2001)		Complete if Known	
		Application Number	09/169,878 09/679848 *
		Filing Date	October 12, 1998
		First Named Inventor	May
		Group Art Unit	2161
		Examiner Name	Benton, M.
Sheet	2	of	2
		Attorney Docket Number 38866/204614	

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
CRK	13	RABATIN, Adaptive Portfolio Trading Strategies for Forex Portfolios, Journal, April 1997, Pages 1-11, Issue 4, Rabatin Investment Technology	
CRK	14	HIGGENS, Managing the Risk of Your Global Bond Portfolio, Journal, April 1996, Pages 13-16, Issue 0951-3604, Global Investor	
SKR	15	AVANZATO, How to Use The Collateral Carousel, Journal, January 1998, Pages 29-31, Issue 0262-6969, International Financial Law Review	
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Examiner Signature	Charles Kj	Date Considered	12/22/04
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.